PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

• Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Onder the Paperwork Reduction Act of 1779, no persons are required to response					Complete if Known			
- INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)					Application Number 10/597,666			
					Filing Date	20, 2007		
					First Named Inventor	Dan ROTTENBERG et al		
					Art Unit			
	`	·			Examiner Name			
Sheet		1	of	3	Attorney Docket Number 34955			
			U.S.	PATENT	DOCUMENTS	-1		
Examiner Initials*	Cite No.	Document Number	Publication Date DD-MMM-YYYY		Name of Patentee or Applicant of Cited Document	Name of Patentee or Pages, Columns, Lines, V		
		Number-Kind Code ^{2 (if known)}	<u> </u>					
	1	2002/0173742	21-Nov		Keren et al.			
	2	2003/0125798	03-Jul-2		Martin			
	3	2003/0216679	20-Nov-		Wolf et al.			
	4	2004/0010219	15-Jan-2		McCusker et al.			
	5	2004/0088045	06-May		Cox		,	
	6	2004/0093075	13-May		Kuehne			
	7	2004/0147871	29-Jul-2004		Burnett			
	8	2004/0162514	19-Aug-2004		Alferness et al.			
	9	2004/0210307	21-Oct-2004		Khairkhahan	_		
<u> </u>	10	2005/0033351	10-Feb-		Newton			
	11	2005/0148925	07-Jul-2	005	Rottenberg et al.	_		
	12	2005/0165344	28-Jul-2	.005	Dobak III			
	13	3,874,388	01-Apr-	1975	King et al.			
	14	4,662,355	05-May	-1987	Pieronne et al.			
	15	4,705,507	10-Nov	-1987	Boyles			
	16	5,186,431	16-Feb-	1993	Tamari	_		
	17	5,267,940	07-Dec-	1993	Moulder			
	18	5,290,227	01-Mar	1994	Pasque			
	19	5,326,374	05-Jul-1	994	Ilbawi et al.			
***	20	5,332,402	26-Jul-1	994	Teitelbaum			
	21	5,334,217	02-Aug	-1994	Das	_		
	22	5,409,019	25-Apr-	1995	Wilk			
	23	5,429,144	04-Jul-1	995	Wilk			
_	24	5,500,015	19-Mar	-1996	Deac			
	25	5,556,386	17-Sep-	1996	Todd			
	26	5,584,803	17-Dec-		Stevens et al.			
-	27	5,597,377	28-Jan-	1997	Aldea			
	28	5,655,548	12-Aug	-1997	Nelson et al.			
	29	5,795, 307	18-Aug		Krueger			
Examine	er	<u> </u>		-	Date			

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of copy of this form with next communication to applicant.

Considered

Signature

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. this collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Applicant's unique citation designation number (optional).

² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

 $^{^{3}}$ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language translation is attached.

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Onder the Paperwork Reduction Pet of 1995				Complete if Known						
Substitute for form 1449A/PTO					Applicat	Application Number 10/597,666				
INFORMATION DISCLOSURE				Filing D		20-Jun-2007				
	•					ned Inventor	Dan RO	OTTENBERG et al		
	STATEMENT BY APPLICANT			Art Unit						
	(u	se as many sheets as nec	essary)			Examine	r Name			
Sheet		2	Of		3	Attorney	Docket Number	34955		
			U.S.	PAT	TENT I	DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document Number	Publication Date		Applicant of Cited Document Relevant Passages or F			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number-Kind Code ² (if known)								
	30	5,916,193	29-Jun-			Stevens				
	31	5,957,949	28-Sep-				nardt et al.			
	32	6,126,686	03-Oct-2			Badylak et al.				
	33	6,210,318	03-Apr-			Lederma	<u> </u>			
	34	6,217,541	17-Apr-			Yu				_
	35	6,254,564	03-Jul-2			Wilk et al.				
	36	6,270,526	07-Aug-			Cox	·			
	37	6,277,078	21-Aug-				Porat et al.			
	38	6,302,892	16-Oct-			Wilk	·			
	39	6,344,022	05-Feb-			Jarvik				
	40	6,358,277	19-Mar-			Duran	· .			
	41	6,447,539	10-Sep-2002			Nelson et al.				
	42	6,458,153	01-Oct-2002			Bailey et al.				
	43_	6,468,303	22-Oct-2002			Amplatz et al.				
	44	6,544,208	08-Apr-2003		Ethier et al.					
	45	6,562,066	13-May-2003		Martin					
	46	6,572,652	03-Jun-2003			Shaknovich				
	47	6,589,198	08-Jul-2			Soltanpour et al.				
	48	6,632,169	14-Oct-	2003			nitis et al.			
	49	6,641,610	04-Nov	-2003	3	Wolf et a	al			
			FOREI	GN I	PATEN	NT DOCU	JMENTS			
Examiner Initials*	Cite No. 1	Foreign Patent Docum	nents			ition Date	Name of Patentee of Applicant of Cited Doc		Pages, Columns, Lines, Where Relevant Passages	T 6
_	ļ	Country Code ³ - Number ⁴ - Kind C	Code ⁵ (if know	n)					or Relevant Figures Appear	1
	50	WO 2005/074367	-		18-Aug		Rottenberg et al.			+
	51	WO 99/60941			02-Dec	c-1999	Shmulewitz et al.			-
	<u> </u>									
										+
										╀
	ļ				_					\vdash
	<u> </u>									-
	<u> </u>		_							+
	<u> </u>					14				-
	i								i	1

Date Considered

Examiner

Signature

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. this collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

Approved for use through 07/31/2006. OMB 0651-0031
U.S.Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the	e Paperwork I	Reduction Act of 1995, no person	required to respond a	collection of information unless it conta	ins a valid OMB contro	ol number.	
		A LANGE OF THE PARTY OF THE PAR	T& TRADELLE	Comple	te if Known		
•	Sut	ostitute for form 1449A/PTO	Application Number	10/597,666			
IN	FORM	ATION DISCLOSU	JRE	Filing Date	June 20, 2007		
 :		IENT BY APPLICA		First Named Inventor	Dan ROTTENB	ERG et al	
31	A I LIV.	IENT DI ATTER		Group Art Unit			
	(use as	many sheets as necessary)		Examiner Name			
Sheet 3 Of <u>3</u>			3	Attorney Docket Number	34955		
		OTHER PRIOR ART -	NON PATENT	LITERATURE DOCUM	ENTS		
Examiner							
Initials	No.1	item (book, magazine, journal, serial symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	52	??? "In the Specification"					
	53			ion Through A Patent Foran			
	ŀ	A Patient With Hypertrop	hic Cardiomyop	athy: A Case Report", Cardi	ovascular		
		Ultrasound, 2: 1-7, 2004.					
	54 Bristow et al. "Improvement in Cardiac Myocyte Function by Biological Effects of						
Medical Therapy: A New Concept in the Treatment of Heart Failure", European							
		Heart Journal, 16(Suppl.F): 20-31, 1995. Abstract.					
	55 Coats et al. "Controlled Trial of Physical Training in Chronic Heart Failure:						
				entilation, and Autonomic F	unction",		
		Circulation, 85: 2119-213			CT 1 . 1		
Davies et al. "Reduced Contraction and Altered Frequency Response of Isolated							
	Ventricular Myocytes From Patients With Heart Failure", Circulation, 92: 2540-						
	<u> </u>	2549, 1995.	37 1 D		Wish Assist		
	57			striction in Elderly Patients V			
				osure?", Catheterization and			
	- 50	Cardiovascular Interventi					
	58			tion", Dated 05 April 2005. Reducing Localized Circulat	ony System		
	59			Reducing Localized Circular	ory System		
	60	Pressure", 16 P., 07 Janua		ntion" Dated 28 September	2004		
-	60 Kesten et al. "Amendment to the Specification", Dated 28 September 2004. 61 Kramer et al. "Controlled Trial of Captopril in Chronic Heart Failure: A Rest and						
	61	Exercise Hemodynamic S			A Rest and		
	62	Merriam-Webster "Defin	tion of Chambe	r''', OnLine Dictionary, 2004	4. Abstract		
	63	Zhou et al "Unidirections	A Valve Patch for	or Repair of Cardiac Septal	Defects With		
	33	Pulmonary Hypertension'	' Annals of Tho	racic Surgeons, 60: 1245-12	49, 1995.		
	+	i amonary rrypertension	,		·- , <u></u>		
	 -						

Examiner	Date
Signature	Considered

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. this collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.